# Unsupervised Cross-Modality Domain Adaptation for Vestibular Schwannoma Segmentation and Koos Grade Prediction based on Semi-Supervised Contrastive Learning

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Abstract. Domain adaptation has been widely adopted to transfer styles across multi-vendors and multi-centers, as well as to complement the missing modalities. In this challenge, we proposed an unsupervised domain adaptation framework for cross-modality vestibular schwannoma (VS) and cochlea segmentation and Koos grade prediction. We learn the shared representation from both ceT1 and hrT2 images and recover another modality from the latent representation, and we also utilize proxy tasks of VS segmentation and brain parcellation to restrict the consistency of image structures in domain adaptation. After generating missing modalities, the nnU-Net model is utilized for VS and cochlea segmentation, while a semi-supervised contrastive learning pre-train approach is employed to improve the model performance for Koos grade prediction. On CrossMoDA validation phase Leaderboard, our method received rank 4 in task1 with a mean Dice score of 0.8394 and rank 2 in task2 with Macro-Average Mean Square Error of 0.3941. Our code is available at https://github.com/fiv2W/cmda2022.superpolymerization.

Keywords: Domain Adaptation  $\cdot$  Semi-supervised Contrastive Learning  $\cdot$  Segmentation  $\cdot$  Vestibular Schwannoma.

# 1 Introduction

Domain adaptation has recently been employed in various clinical settings to improve the applicability of deep learning approaches. The goal of Cross-Modality Domain Adaptation (CrossMoDA) challenge <sup>4</sup> is to segment two key brain structures, namely vestibular schwannoma (VS) and cochlea. It also requires predict-

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<sup>&</sup>lt;sup>4</sup> https://crossmoda2022.grand-challenge.org/

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ing the Koos grading scale for VS. The two tasks are required for the measurement of VS growth and evaluation of the treatment plan (surveillance, radiosurgery, open surgery). And an automatic pipeline for VS segmentation and Koos classification on MRIs has been proposed to improve clinical workflow [13]. Although contrast-enhanced T1 (ceT1) MR imaging is commonly used for patients with VS in diagnosis and surveillance, the research on non-contrast imaging, such as high-resolution T2 (hrT2), is growing due to lower risk and more efficient cost. Therefore, to avoid additional annotation, CrossMoDA aims to transfer the learnt knowledge from ceT1 to hrT2 images by building up domain adaptation between unpaired ceT1 and hrT2.

## 2 Related Work

Weakly supervised and unsupervised domain adaptation for VS and cochlea segmentation has been extensively validated in previous research [7, 8]. Most of them employ an image-to-image translation method, *e.g.* CycleGAN [19], to generate pseudo-target domain images from source domain images. And then generated images and the corresponding manual annotations are used to train the segmentation models. Dong *et al.* [6] utilize NiceGAN [3], which is trained by reusing discriminators for encoding, to improve the performance of domain adaptation and further segmentation. Choi [5] proposes a data augmentation method by halving the intensity in the tumor area for generated hrT2. Shin *et al.* [15] employ an iterable self-training strategy in their method: (1) train the student model with annotated generated hrT2 and pseudo-labeled real hrT2; (2) make the student a new teacher and update the pseudo label for real htT2. Following these works, our proposed method focuses more on extracting joint representations from multi-modality MRIs, which can reduce the distance between different modalities in the latent space.

Classification task for medical images can be very challenging due to insufficient instances. In recent years, contrastive learning has led to state-of-the-art performance in self-supervised representation learning [17, 1, 9, 12]. In contrastive learning, a data sample is first selected as the anchor, and samples with the same class as the anchor are positive samples, while others are negative samples. The key idea is to reduce the distance between an anchor and a positive sample in latent space, and distinguish the anchor from other negative samples. Contrastive learning is often applied to medical image pretraining. Huang et al. [10] develop an attentional contrastive learning framework for global and local representation learning between images and radiology reports. You et al. [18] introduce lesion and normal contrastive losses to the multi-task approach to learn inter- and intra-variations, which improves the performance of cancer detection. Apart from contrastive learning on pixel-level features, Wang et al. [16] propose graph-level contrastive learning to handle population-based fMRI classification. Inspired by these works, contrastive learning is employed at the pretraining phase to mine multi-modality representation strategically for different types of samples.



Fig. 1. Overview of the proposed unsupervised domain adaptation segmentation and classification framework.

### 3 Method

#### 3.1 Framework Overview

Figure 1 illustrates the proposed unsupervised domain adaptation segmentation and classification framework. We first employ a multi-sequence fusion network (MSF-Net) to generate the corresponding hrT2 image from a given ceT1 image. To train a robust segmentation network, we pool real ceT1 images and generated hrT2 images together, instead of pairing them, to ensure the nnU-Net [11] model is able to predict ceT1 and hrT2 images blindly. By leveraging the predicted segmentation mask and pre-trained MSF-Net, we propose MSF-Koos-Net based on semi-supervised contrastive learning to predict Koos grade.

#### 3.2 Cochlea and VS segmentation based on unsupervised domain adaptation

Figure 2 illustrates the architecture of the proposed MSF-Net. Although ceT1 and hrT2 MRI images differ in image resolution and appearance, organs' representations from an identical subject are commonly embedded in the latent space. Based on this, we employed a share-weighted encoder  $\mathbf{E}$  to extract the domain-free representations from both ceT1 and hrT2 images. Then, two decoders ( $\mathbf{G}_{T1}$  and  $\mathbf{G}_{T2}$ ) was constructed to recover the different parametric MRI sequences from the latent representations. The reconstruction loss is as follows,

$$\mathcal{L}_{rec} = \lambda_r \cdot (\|I_1' - I_1\|_1 + \|I_2' - I_2\|_1) + \lambda_p \cdot (\mathcal{L}_p(I_1', I_1) + \mathcal{L}_p(I_2', I_2))$$
(1)

where  $I'_1 = \mathbf{G}_{T1}(\mathbf{E}(I_1)), I'_2 = \mathbf{G}_{T2}(\mathbf{E}(I_2)), \|\cdot\|_1$  is a  $L_1$  loss, and  $\mathcal{L}_p$  refers to the perceptual loss based on pre-trained VGG19.  $\lambda_r$  and  $\lambda_p$  are weight terms and are set to be 10 and 0.01.

Inspired by Cycle-GAN [19], we utilize the adversarial loss to achieve the domain adaptation and employ cycle consistency loss to force the consistency of

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Fig. 2. The architecture of MSF-Net. The reverse transform direction (from real hrT2 to fake ceT1) is omitted for ease of illustration. Note that, both directions share weights for the model, and no proxy paths ( $\mathbf{G}_{vs}$  and  $\mathbf{G}_{gif}$ ) are involved in the reverse direction due to lack of annotations.

the anatomical structures.

$$\min_{\mathbf{D}_{T1},\mathbf{D}_{T2}} \max_{\mathbf{G}} \mathcal{L}_{adv} = \|\mathbf{D}_{T1}(I_1) - 1\|_2 + \|\mathbf{D}_{T1}(I'_{2\to 1})\|_2 + \|\mathbf{D}_{T2}(I_2) - 1\|_2 + \|\mathbf{D}_{T2}(I'_{1\to 2})\|_2$$
(2)

$$\mathcal{L}_{cyc} = \|I_{1\to2\to1}'' - I_1\|_1 + \|I_{2\to1\to2}'' - I_2\|_1 \tag{3}$$

where  $I'_{1\to 2} = \mathbf{G}_{T2}(\mathbf{E}(I_1)), \ I''_{1\to 2\to 1} = \mathbf{G}_{T1}(\mathbf{E}(I'_{1\to 2})), \ I'_{2\to 1} \text{ and } I'_{2\to 1\to 2}$  are formulated similarly,  $\|\cdot\|_2$  is a  $L_2$  loss.

To further restrict the image structure during domain adaptation, especially for tumors, we employ two proxy tasks for real ceT1 images, including VS segmentation ( $\mathbf{G}_{vs}$ ) and brain parcellation ( $\mathbf{G}_{gif}$ ) whose labels are obtained with the Geodesic Information Flows (GIF) algorithm [2].

$$\mathcal{L}_{seg} = \mathcal{L}_{ce}(M'_{vs}, M_{vs}) + \mathcal{L}_{dsc}(M'_{vs}, M_{vs}) + \mathcal{L}_{ce}(M'_{gif}, M_{gif}) + \mathcal{L}_{dsc}(M'_{gif}, M_{gif})$$
(4)

where  $\mathcal{L}_{ce}$  refers to the cross entropy loss and  $\mathcal{L}_{dsc}$  indicates the dice similarity coefficient loss.

The total loss function of the proposed MSF-Net can be summarized as,

$$\mathcal{L}_{total} = \mathcal{L}_{rec} + \lambda_a \cdot \mathcal{L}_{adv} + \lambda_c \cdot \mathcal{L}_{cyc} + \lambda_s \cdot \mathcal{L}_{seg}$$
(5)

where we set  $\lambda_a = 1$ ,  $\lambda_c = 10$ , and  $\lambda_s = 1$  with experimental experience.

# 3.3 Koos grade prediction based on semi-supervised contrastive learning

Figure 3 illustrates the architecture of MSF-Koos-Net. The frozen pre-trained encoder **E** from MSF-Net is employed to extract low-level features from both ceT1 and hrT2 images. To pay more attention to the tumor region, we concatenate the low-level image features with the predicted segmentation mask of the tumor. Then followed with a high-level encoder  $\mathbf{E}_{\mathcal{H}}$  to extract high- dimension features and a fully connected layer to output the predicted Koos grade. To achieve better performance of Koos grade prediction with limited data, both supervised and self-supervised contrastive learning [12] are utilized to pre-train the MSF-Koos-Net.



Fig. 3. The architecture of MSF-Koos-Net.

Self-supervised contrastive learning. After generating the missing modality, a dataset that includes paired ceT1 and hrT2 is composed. Within a multimodality batch, let  $\mathcal{D}$  be the group of indexes for these samples. For selfsupervised contrastive learning, only cross-modality samples with the same indexes as the source sample are positive. The loss function is defined as follows,

$$\mathcal{L}_{self} = -\sum_{i \in \mathcal{D}} \log \frac{\exp\left(z_1^{(i)} \cdot z_2^{(i)}/\tau\right)}{\sum_{j \in \mathcal{D}} \exp\left(z_1^{(i)} \cdot z_2^{(j)}/\tau\right)} \cdot \frac{\exp\left(z_1^{(i)} \cdot z_2^{(i)}/\tau\right)}{\sum_{j \in \mathcal{D}} \exp\left(z_1^{(j)} \cdot z_2^{(i)}/\tau\right)} \quad (6)$$

where  $z_1 = \mathcal{F}_{self}(\mathbf{E}_{\mathcal{H}}(\mathbf{E}(I_1)))$  and  $z_2 = \mathcal{F}_{self}(\mathbf{E}_{\mathcal{H}}(\mathbf{E}(I_2)))$  refer to features extracted from ceT1 and hrT2 images,  $\mathcal{F}_{self}$  indicates the projection network [4] for self-supervised contrastive learning, the  $\cdot$  symbol denotes Scalar Product,  $\tau$  refers to the scalar temperature parameter.

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**Supervised contrastive learning.** To leverage Koos grade for pretraining, supervised contrastive learning is employed to enlarge the inter-grade difference and intra-grade similarity. We use samples from real ceT1 and the corresponding fake hrT2 pairs, and let  $\mathcal{A}$  be the index group for the annotated samples in a multi-modality batch. The loss takes the following form,

$$\mathcal{L}_{sup} = -\sum_{i \in \mathcal{A}} \frac{1}{|\mathcal{P}(i)|} \sum_{p \in \mathcal{P}(i)} \\ \log \frac{\exp\left(q_1^{(i)} \cdot q_2^{(p)}/\tau\right)}{\sum_{j \in \mathcal{A}} \exp\left(q_1^{(i)} \cdot q_2^{(j)}/\tau\right)} \cdot \frac{\exp\left(q_1^{(p)} \cdot q_2^{(i)}/\tau\right)}{\sum_{j \in \mathcal{A}} \exp\left(q_1^{(j)} \cdot q_2^{(i)}/\tau\right)}$$
(7)

where  $q_1 = \mathcal{F}_{sup}(\mathbf{E}_{\mathcal{H}}(\mathbf{E}(I_1)))$  and  $q_2 = \mathcal{F}_{sup}(\mathbf{E}_{\mathcal{H}}(\mathbf{E}(I_2)))$  refer to features extracted from ceT1 and hrT2 images,  $\mathcal{F}_{sup}$  indicates the projection network [4] for supervised contrastive learning,  $\mathcal{P}(i) = \{p \in \mathcal{A} | y_p = y_i\}$  is the index group for positive samples whose Koos grades are the same as the source sample  $I^{(i)}$ ,  $|\mathcal{P}(i)|$  refers to the number of samples in  $\mathcal{P}(i)$ .

Koos grade prediction. By freezing the pre-trained  $\mathbf{E}$  and  $\mathbf{E}_{\mathcal{H}}$ , we only finetune the final fully connected layer with annotated real ceT1 and the corresponding generated hrT2 images. In this phase, the MSF-Koos-Net is trained with a cross-entropy loss.



Fig. 4. The pipeline of image preprocessing. Both ceT1 and hrT2 images are resampled and applied with histogram matching respectively. And then the images are registered to the same atlas by affine transformation. Finally, patches with the fixed region of interest are extracted from affined and non-affined images.

# 4 Experimental Results

#### 4.1 Materials and Implementation Details

**Dataset.** The dataset for the CrossMoDA challenge is an extension of the publicly available Vestibular-Schwannoma-SEG collection released on The Cancer Imaging Archive (TCIA) [14,8], which is divided into the training dataset (210 subjects with ceT1 images and other unpaired 210 subjects with hrT2 images) and the validation dataset (64 subjects with hrT2 images). All imaging datasets were manually segmented for cochlea and VS, and automated GIF parcellation masks were provided for the training source dataset.

**Data preprocessing.** Figure 4 illustrates the pipeline of image preprocessing. All the images are first resampled to the spacing of  $1 \times 0.4102 \times 0.4102$  mm. Then we utilize histogram matching to normalize ceT1 and hrT2 images, separately. To improve the performance of domain adaptation, we select an identical hrT2 image as the atlas and employ intra- and inter-modality affine transformation on all the ceT1 and hrT2 images, respectively. Here, we utilize mutual information (MI) loss for ceT1 images and normalized cross-correlation (NCC) loss for hrT2 images. Finally, based on the distribution of tumor areas in the training set, we crop the images to the size of  $80 \times 256 \times 256$  by setting a fixed region. Limited by the device, we train the model in 2.5D mode – adjacent three slices are treated as a three-channel 2D input.

**Implementation Details.** We implemented our method using Pytorch with NVIDIA 3090 RTX. We optimized MSF-Net and MSF-Koos-Net with ADAM. MSF-Net is trained with a learning rate of  $2 \times 10^{-4}$ , a default of 1,000 epochs, and a batch size of 1. nnU-Net is trained with its default settings. MSF-Koos-Net is first pre-trained based on semi-supervised contrastive learning with a learning rate of  $1 \times 10^{-2}$ , a default of 100 epochs, and a batch size of 4. And then we fine-tune MSF-Koos-Net with a learning rate of  $1 \times 10^{-4}$  and a default of 20 epochs.

#### 4.2 Results

Cross-modality domain adaptation results between ceT1 and hrT2 are shown in Fig. 5. Real ceT1 images are correctly transferred to the hrT2 domain keeping the tumor structure unchanged. To evaluate the influence of different generation performances for further segmentation, we compare our proposed method with CycleGAN [19] on ceT1 and hrT2 domain adaptation. And all the comparisons are based on the same segmentation process. Table 1 shows the segmentation results for the nnU-Net models training with fake hrT2 images generated by different methods. The proposed MSF-Net achieve better segmentation results than CycleGAN on the validation set, and the ablation study shows that adding the proxy task of VS and GIF can improve the performance of cross-modality domain 8 L. Han et al.



Fig. 5. Examples of real ceT1 images and corresponding generated hrT2 images from the proposed MSF-Net.

adaptation. For Koos grade prediction, the proposed MSF-Koos-Net achieves the best Macro-Average Mean Square Error (MAMSE) of 0.3940. MAMSE increases to 0.6805 when the pre-trained weights are not frozen. And further MAMSE increases to 0.8371 without pretraining with semi-supervised contrastive learning.

 Table 1. Segmentation results for nnU-Net utilizing generated hrT2 images with different domain adaptation methods.

Methods	VS Dice $\uparrow$	VS ASSD $\downarrow$	Cochlea Dice $\uparrow$	Cochlea ASSD $\downarrow$
CycleGAN	$0.7402 \pm 0.2504$	$1.7556 \pm 5.3276$	$0.8202 \pm 0.0253$	$0.2325 {\pm} 0.1545$
MSF-Net w/o VS&GIF	$0.7764 \pm 0.2025$	$0.6905 \pm 0.6437$	$0.8220 \pm 0.0510$	$0.3097 {\pm} 0.2986$
MSF-Net w/o GIF	$0.8288 \pm 0.0838$	$0.7901 \pm 1.0765$	$0.8285 \pm 0.0354$	$0.2507 {\pm} 0.1828$
MSF-Net	$0.8493 \pm 0.0683$	$0.5202 {\pm} 0.2288$	$0.8294 {\pm} 0.0268$	$0.2454{\pm}0.2102$

# 5 Discussion

In this study, we develop a cross-modality domain adaptation approach for VS and cochlea segmentation and also Koos grade prediction. In practice, our proposed MSF-Net is verified to convert the images from the ceT1 domain to the hrT2 domain and keep the anatomy unchanged. With a weight-shared encoder, MSF-Net is capable of learning joint multi-modality representation, given the ability of modality identification. The constraints on self-supervised modality recovery provide more structure consistency for the model training. Based on this, the proposed MSF-Net achieves better performance on domain adaptation than CycleGAN. This further affects the follow-up segmentation task, making the segmentation results of MSF-Net higher than that of CycleGAN. In addition, proxy tasks also have an important contribution to cross-modality domain adaptation. Segmentation of VS and brain structure can help less structural bias during image-to-image transformation and improve the segmentation accuracy. Our proposed MSF-Koos-Net also achieves high accuracy in the cross-modality classification task. Self-supervised medical image pretraining by contrastive learning has been proven to lead to Koos grade prediction performance improvements. It is shown that freezing the pre-trained weights during finetuning stage of the model is effective for limited training data. It may be because fine-tuning the last layer requires fewer parameters to be optimized. Weight-frozen strategy and contrastive learning are helpful in avoiding overfitting and capturing more representative information from images.

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